

Information regarding Beneficial Owners at Foundations with Legal Capacity and Trusts

Dear Sir or Madam,

In examining your request, it has come to our attention that the full information concerning the beneficial owner of your company is still not available.

According to section 10 (1) no. 2 of the German Money Laundering Act (GwG), credit and financial services institutions have an obligation to identify and record beneficial owners as a security measure aimed at the prevention of money laundering.

The beneficial owner(s) is/are the natural person(s) who ultimately own(s) or control(s) the contracting party or the natural person(s) on whose behalf a transaction is ultimately carried out or a business relationship is ultimately established.

In the case of foundations with legal capacity and legal arrangements used to manage or distribute assets of property held in trust, or through which third parties are commissioned for the management or distribution of assets or property, or similar legal constructs, the beneficial owner is:

Group 1: any natural person acting as trustor, trustee or, where applicable, as protector

Group 2: any natural person who is a member of the foundation's management board,

Group 3: any natural person who has been designated as a beneficiary,

Group 4: the group of natural persons for whose benefit the assets are to be managed or distributed if the natural person intended to become the beneficiary of the assets under management has not been designated yet,

Group 5: any natural person who, directly or indirectly, otherwise exercises a dominant influence on the management of the assets or on the distribution of the income, and

Group 6: any natural person who can directly or indirectly exercise a controlling influence on an association and who is a member of the board of the foundation or has been designated as a beneficiary of the foundation.

It is mandatory to determine and record **all the beneficial owners** for **each group**.

According to the section 154 (2a) of the tax code we are obligated since 01.01.2018 to also record next to the complete name the physical address and the birth date of the beneficial owner.

Therefore, please copy the page "Information regarding the beneficial owner(s)" as often as necessary and send the fully filled out and signed form back to us.

Please also send us a suitable document for the validation of the data you provide. Which document is defined as suitable can be found in the attachment.

Please understand that due to the legal framework, it is not possible to carry out a final examination of your application and the establishment or continuation of the business relationship without the presentation of this information and the appropriate documents. In doing so, we actively point out your obligations to cooperate in accordance with GTC no. 11 (1) in accordance with the section 11 (6) Money Laundering Act (GwG).

Thank you in advance for your support.

best regards

Mercedes-Benz Bank AG

Your Service Team

Zurück an:
Mercedes-Benz Bank Service Center Berlin
MBB/ORVC
10841 Berlin

Customer no.: _____

Customer: _____

Information regarding the beneficial owner:

The obligation to determine and record the beneficial owner arises from the German Money Laundering Act (GwG) and the tax code (AO). The Mercedes-Benz Bank AG must therefore identify the beneficial owner(s) of the customer or the assets contributed by them. Please see the letter for further details about the wB-Groups.

Beneficial owner to (please mark) Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3 <input type="checkbox"/> - Group 4 <input type="checkbox"/> - Group 5 <input type="checkbox"/> - Group 6 <input type="checkbox"/>		
<input type="text"/> (All) first name(s)	<input type="text"/> Name	<input type="text"/> Date of birth
<input type="text"/> Street, Number	<input type="text"/> Postal code	<input type="text"/> Location

Beneficial owner to (please mark) Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3 <input type="checkbox"/> - Group 4 <input type="checkbox"/> - Group 5 <input type="checkbox"/> - Group 6 <input type="checkbox"/>		
<input type="text"/> (All) first name(s)	<input type="text"/> Name	<input type="text"/> Date of birth
<input type="text"/> Street, Number	<input type="text"/> Postal code	<input type="text"/> Location

Beneficial owner to (please mark) Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3 <input type="checkbox"/> - Group 4 <input type="checkbox"/> - Group 5 <input type="checkbox"/> - Group 6 <input type="checkbox"/>		
<input type="text"/> (All) first name(s)	<input type="text"/> Name	<input type="text"/> Date of birth
<input type="text"/> Street, Number	<input type="text"/> Postal code	<input type="text"/> Location

Beneficial owner to (please mark) Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3 <input type="checkbox"/> - Group 4 <input type="checkbox"/> - Group 5 <input type="checkbox"/> - Group 6 <input type="checkbox"/>		
<input type="text"/> (All) first name(s)	<input type="text"/> Name	<input type="text"/> Date of birth
<input type="text"/> Street, Number	<input type="text"/> Postal code	<input type="text"/> Location

Beneficial owner to (please mark) Group 1 <input type="checkbox"/> - Group 2 <input type="checkbox"/> - Group 3 <input type="checkbox"/> - Group 4 <input type="checkbox"/> - Group 5 <input type="checkbox"/> - Group 6 <input type="checkbox"/>		
<input type="text"/> (All) first name(s)	<input type="text"/> Name	<input type="text"/> Date of birth
<input type="text"/> Street, Number	<input type="text"/> Postal code	<input type="text"/> Location

Explanations regarding the Ownership and Control Structure

☐ Documents to confirm the information are attached.

Explanations:

We herewith inform you of your obligation pursuant to the provisions of the German Money Laundering Act to provide the Mercedes-Benz Bank AG with active support for obtaining certain information. The Mercedes-Benz Bank AG must ask its customers questions regarding their person/company/association, legal representatives, holders of power of attorney over accounts or property, beneficial owners, the origin of assets deposited by such persons, and individual transactions, if applicable. The customer's obligation to cooperate relates to the provision of such information to the Mercedes-Benz Bank AG at the time a new business relationship is created. In the event of subsequent changes in this information, you as customer must promptly report them to the Mercedes-Benz Bank AG without being requested to do so.

Signatures		
<div></div> <div>Place, date</div>	<div></div> <div>Last name, first name(s) of person(s) legally authorized to represent the company according to the register, in block letters</div>	<div></div> <div>Stamp/signature(s) of person(s) legally authorized to represent the company according to the register</div>

ENCLOSURE

Under the previous registration form "Information on the beneficial owner" you must collect information regarding the **(fictitious) beneficial owners** to be identified about the contractual partner. The information included in this collection must also be supported by additional appropriate documentation for validation.

Below you will find an overview of documents which are considered suitable by us in this regard. The list is based on individual legal forms as an example.

If your company is a **limited liability company (GmbH)**, the following documents can be sent for validation:

Copy of the partnership agreement
A list of shareholders signed by the Managing Director with the following information: Addresses, dates of birth and share of all shareholders

If your company is a **public limited company (AG)**, the following documents can be sent for validation:

Copy of the share register
Current own list of shareholders

If your company is a **limited partnership with a limited liability company as general partner (GmbH & Co. KG)**, the following documents can be sent for validation:

Copy of the partnership agreement
A list of shareholders signed by the Managing Director with the following information: Addresses, dates of birth and share of all shareholders

If your company is an **association/cooperative/foundation/trust**, the following documents can be sent for validation:

A copy of the Articles of Association showing the distribution of voting rights
Excerpt from the register of associations

If the determination of the beneficial owner is a **higher level of organization including a foreign reference**, the following documents can be sent for validation:

Determination at higher levels and foreign reference
Organization chart of the group legal structure